

# **Are Intermediate Endpoints Associated With Rates of Serious Cardiovascular Adverse Events? Results from the BEAT-HF Trial**

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# **Presenter Disclosure Information**

**I will discuss research examining the development of new therapies in my presentation.**

**I have financial relationships to disclose:**

**Employee of:**

**Department of Veterans Affairs, Medical University of SC**

**Consultant for:**

**Abbott, Boston Scientific, Corvia, CVRx, Cyclorion, EBR, Endotronics, Eli Lilly, Janssen, Medtronic, Merck, Myokardia, Novartis, ReCor, V Wave**

**Stockholder in: N/A**

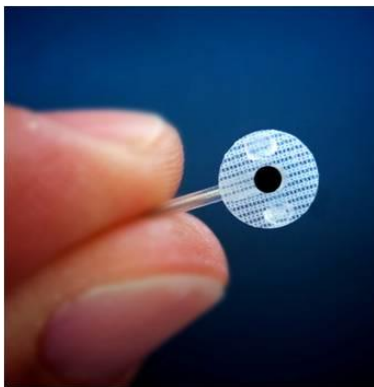
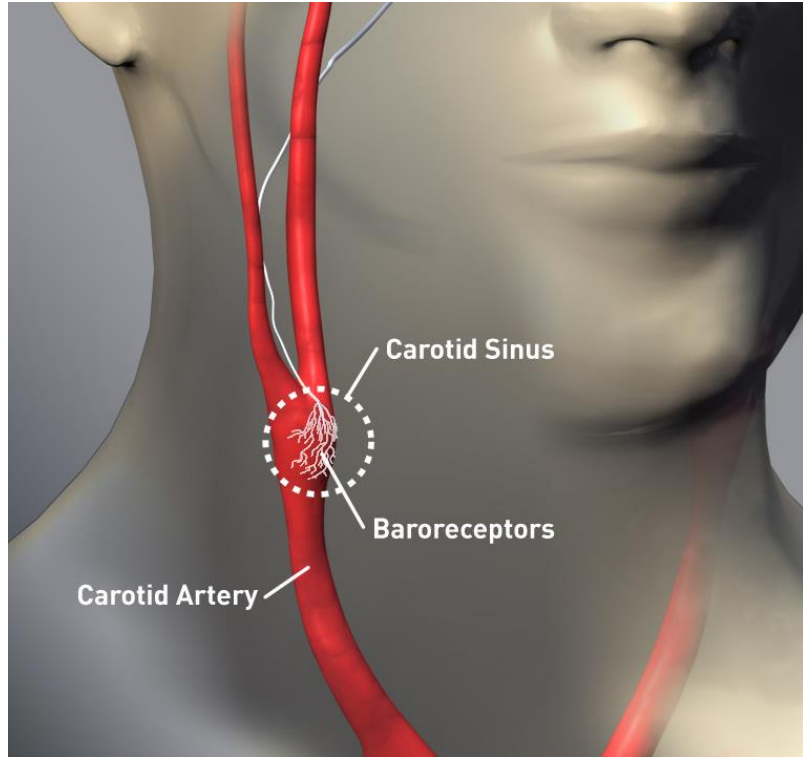
**Research support from:**

**NHLBI, VA, DOD, CVRx, Medtronic, Novartis**

# Presentation Goals

- **Device Design, Mechanism of Action**
- **Clinical Evidence Development in Heart Failure**
- **BeAT-HF Trial Data**
- **Rate of Serious Cardiovascular Adverse Events**
- **Patients who should be considered for BAT**

# Device Design



2 mm electrode  
7mm silicone backer  
Unipolar design



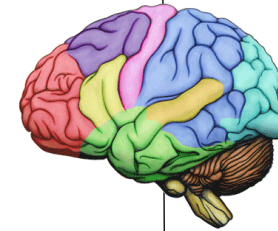
4-5 year longevity  
RF telemetry  
Programming flexibility



8.7 mA amplitude  
125 ms duration  
40 pps frequency

# Mechanism of BAT in HFrEF

Carotid Baroreceptor Stimulation  
Afferent Signaling



Integrated Autonomic Nervous System  
Response  
**Inhibits Sympathetic Activity**  
**Enhances Parasympathetic Activity**



↓ Heart Rate  
↓ Remodeling



↑ Vasodilation  
↓ Elevated BP



↑ Diuresis  
↓ Renin secretion

# Clinical Evidence Development in Heart Failure

## Phase I: BAT in HF

1<sup>st</sup> Enrollment 12/2011

## Phase II: HOPE4HF

1<sup>st</sup> Enrollment 5/2012

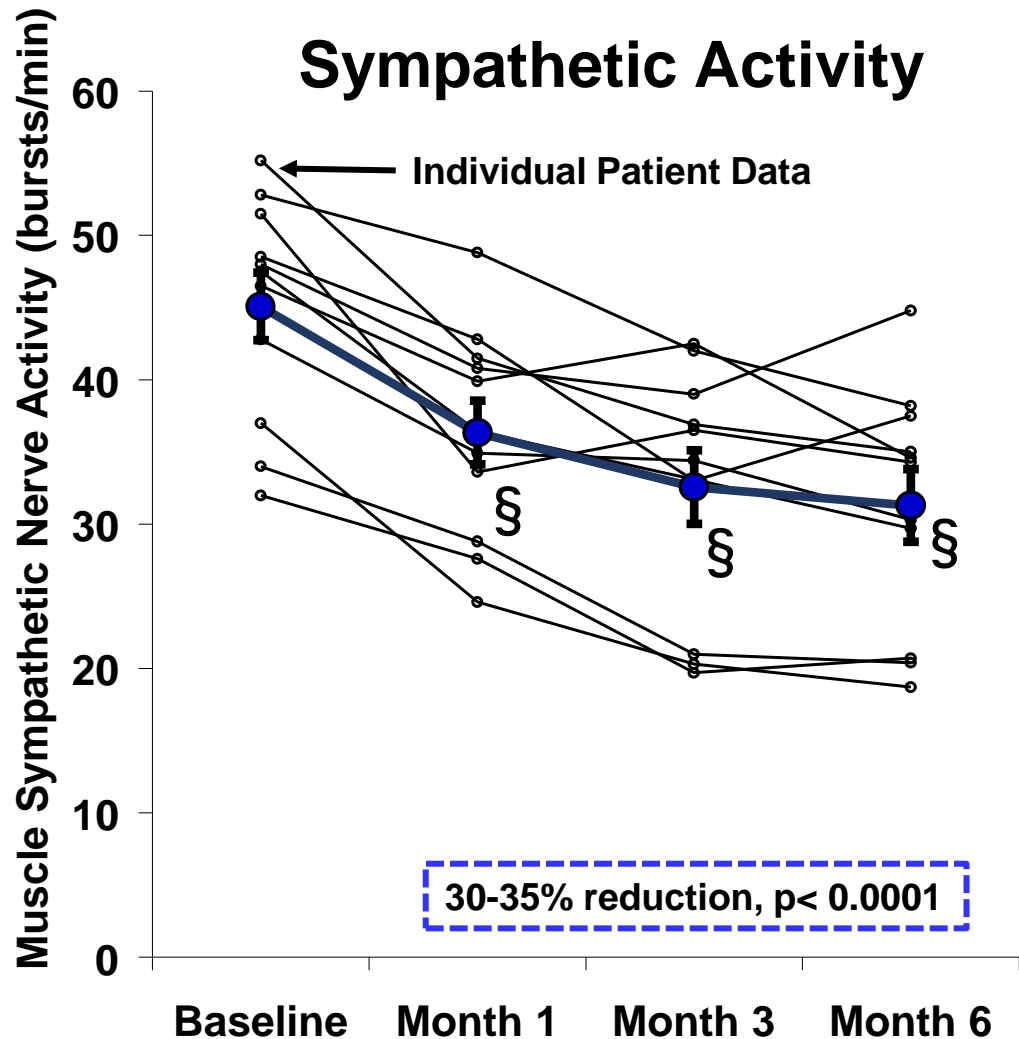
## Phase III: BeAT-HF

1<sup>st</sup> Enrollment 4/2016

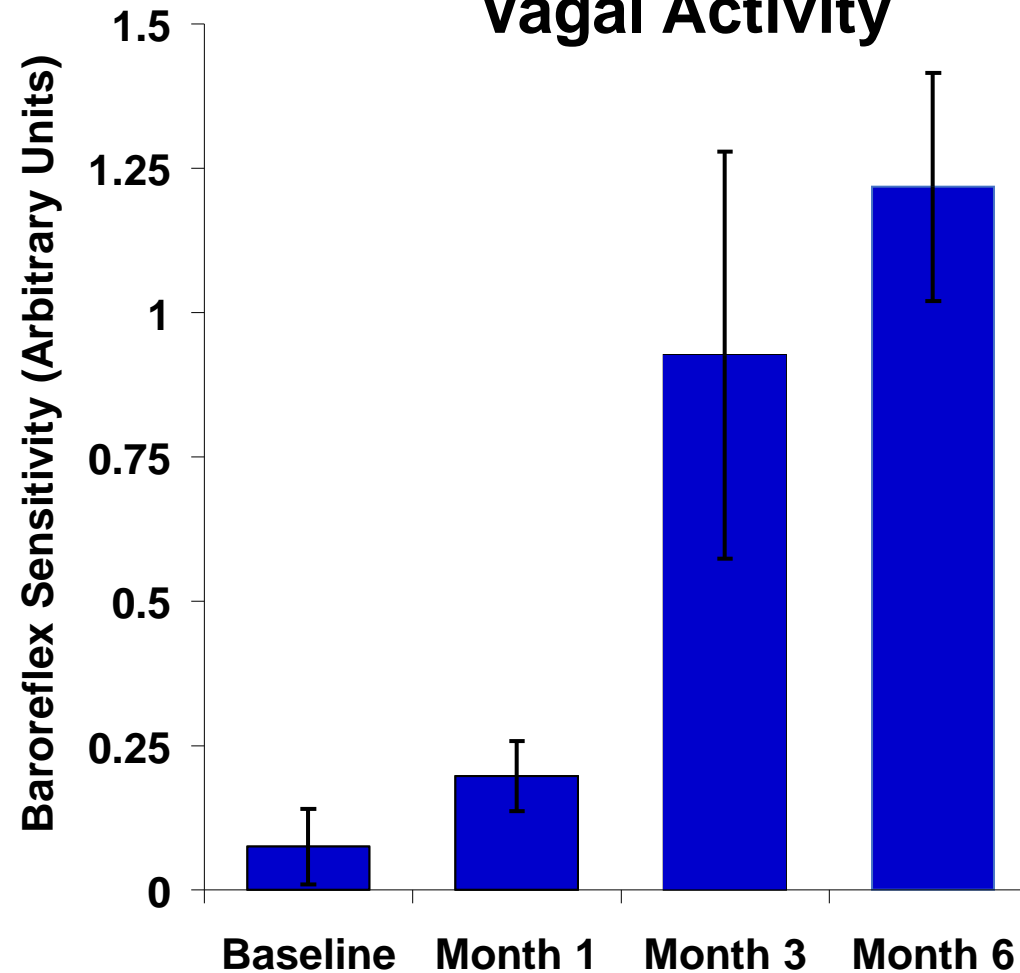
	Phase I: BAT in HF	Phase II: HOPE4HF	Phase III: BeAT-HF
<b>Objective</b>	<ul style="list-style-type: none"> <li>• Assess safety</li> <li>• Demonstrate mechanism of action with GDMT</li> </ul>	<ul style="list-style-type: none"> <li>• Assess safety and Effectiveness</li> </ul>	<ul style="list-style-type: none"> <li>• Demonstrate safety and effectiveness, including morbidity &amp; mortality</li> <li>• Assess health economics</li> </ul>
<b>Study Subjects</b>	<ul style="list-style-type: none"> <li>• n = 11</li> </ul>	<ul style="list-style-type: none"> <li>• n = 146</li> </ul>	<ul style="list-style-type: none"> <li>• n = 408</li> </ul>
<b>Outcomes</b>	<ul style="list-style-type: none"> <li>• BAROSTIM Therapy is safe</li> <li>• Mechanism of action demonstrated through muscle sympathetic nerve activity &amp; HR Variability</li> </ul>	<ul style="list-style-type: none"> <li>• BAROSTIM Therapy is safe and effective in heart failure</li> <li>• CE Mark Approval</li> </ul>	<ul style="list-style-type: none"> <li>• BAROSTIM Therapy is a safe, effective and an economically attractive solution for heart failure patients</li> <li>• FDA Approval</li> </ul>

# Effect of BAT in HFrEF on Sympatho-Vagal Balance

## Sympathetic Activity



## Vagal Activity



All Rx GDMT (> 90% ACE-I/ARB,  $\beta$ -blker, MRA)  
Replicated using High, Low HR Variability Studies

A Phase III Randomized, Controlled Trial of  
**B**aroreflex **A**ctivation **T**herapy (BAT)  
in Patients with  
**H**ear**F**ailure and Reduced Ejection Fraction (HFrEF)

# BeAT-HF

(ClinicalTrial.gov Identifier: NCT02627196)

The BeAT-HF Executive Steering Committee

Michael R. **Zile**, MD, William T. **Abraham**, MD, JoAnn **Lindenfeld**, MD,  
Fred A. **Weaver**, MD, Faiez **Zannad**, MD

Sponsor

CVRx, Inc.

# BeAT-HF Phase III Study

## Purpose:

- Demonstrate safety and effectiveness of BAT in HFrEF patients using the FDA Breakthrough Devices Program

## Design:

- Multicenter, prospective, randomized controlled trial
- Randomized 1:1 to receive BAT plus optimal medical management (“BAT”) or optimal medical management alone (“Control”)



# BeAT-HF Key Eligibility Criteria

- NYHA Functional Class III
- Left ventricular ejection fraction  $\leq 35\%$
- Six-minute hall walk distance (6MHW) 150 – 400 m
- Elevated NT-proBNP or previous Heart Failure Hospitalization
- Stable optimal medical therapy  $\geq 4$  weeks
- Subjects not indicated for CRT
- No restriction on AF, QRS width or concomitant devices

# BeAT-HF Baseline Demographics

Variable	BAT (n=130)	Control (n=134)
Age (years)	62 ± 11	63 ± 10
Gender: Female	19%	22%
Race: Caucasian	75%	72%
NYHA: Class III	93%	95%
MLWHF QOL Score	53 ± 24	52 ± 24
6 Minute Hall Walk Distance (m)*	316 ± 68	294 ± 73
HR (bpm)	75 ± 10	75 ± 11
SBP (mmHg)	120 ± 17	121 ± 16
DBP (mmHg)	73 ± 10	73 ± 10
LVEF (%)	27 ± 7	28 ± 6
NT-pro BNP (pg/mL, Median [IQR])	731 [475, 1021]	765 [479, 1052]
eGFR (mL/min)	64 ± 17	62 ± 20
QRS Interval	109 ± 18	110 ± 26
History of Atrial Fibrillation	29%	43%
History of Coronary Artery Disease	62%	69%
Previous HF hospitalization	42%	51%

No significant difference between BAT and Control: none below 0.01, 6MHW p=0.015, AF p=0.03, all others > 0.05

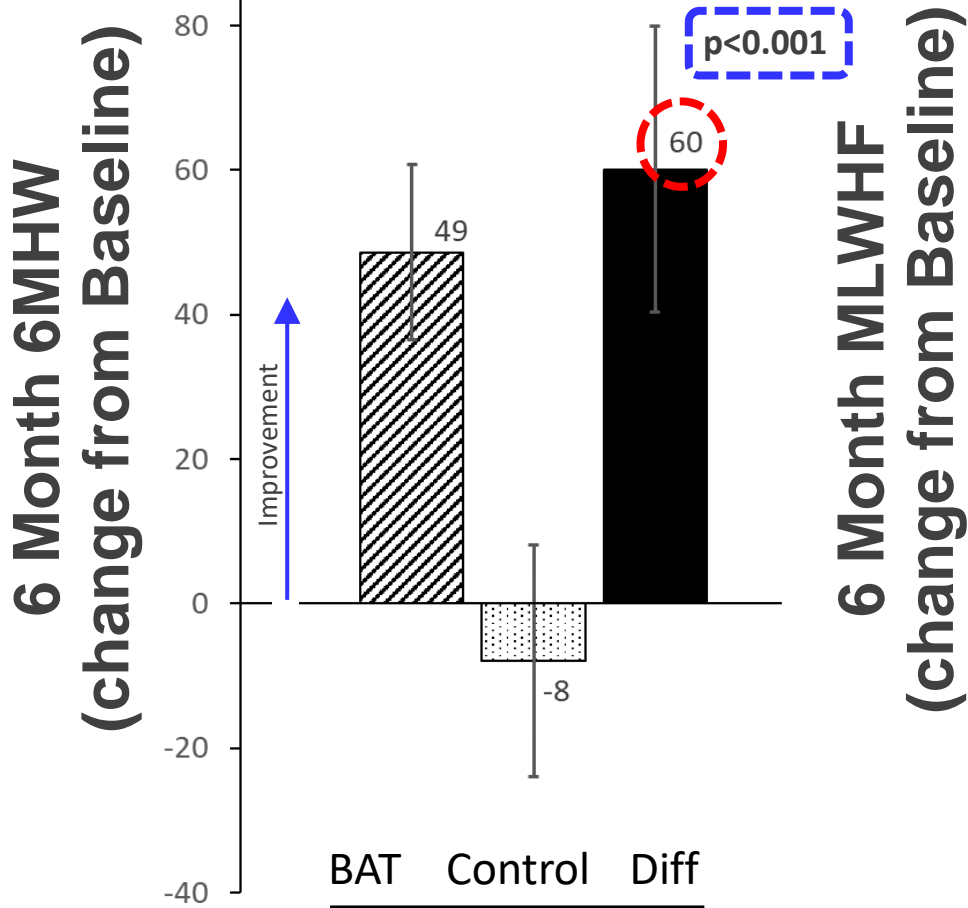
## BeAT-HF Baseline Therapies

Variable	BAT (n=130)	Control (n=134)
Number of Meds	3.9 ± 1.2	4.1 ± 1.4
ACE-I/ARB/ARNI	89%	84%
Beta-Blocker	95%	95%
MRA	49%	42%
Diuretic	85%	87%
Ivabradine	2%	5%
ICD	78%	79%

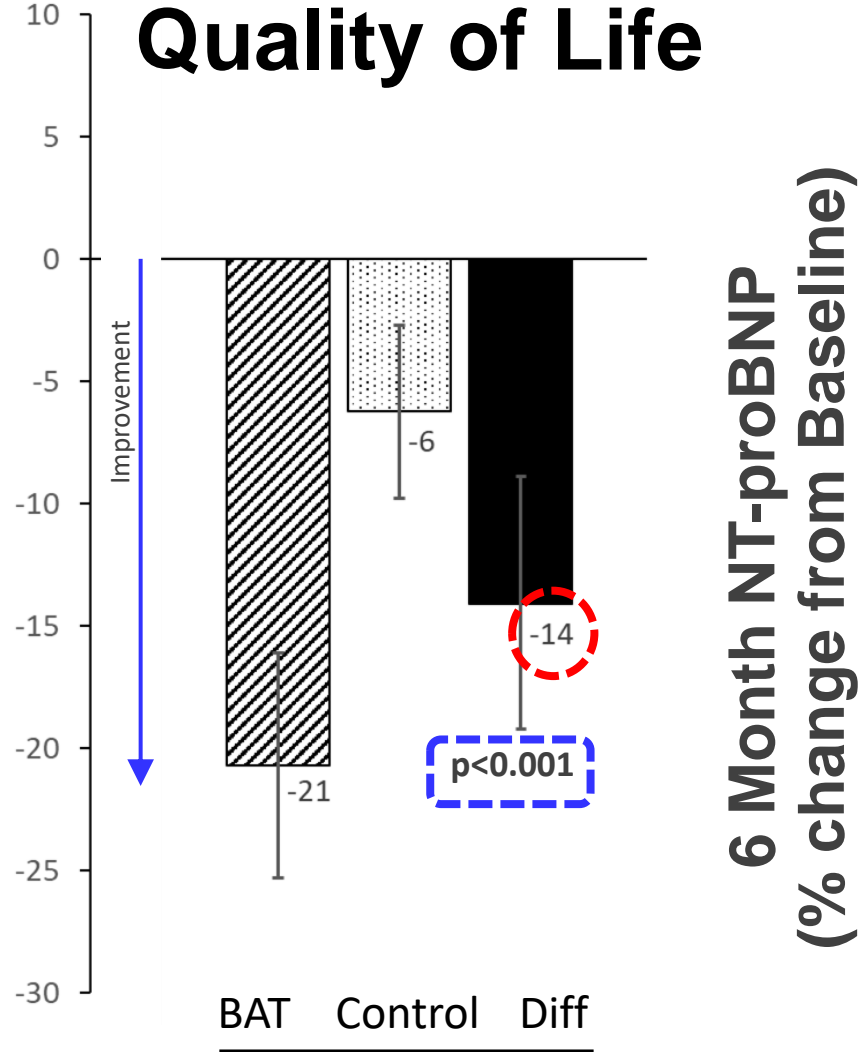
**No significant difference between BAT and Control**

# BeAT-HF Top-Line Results

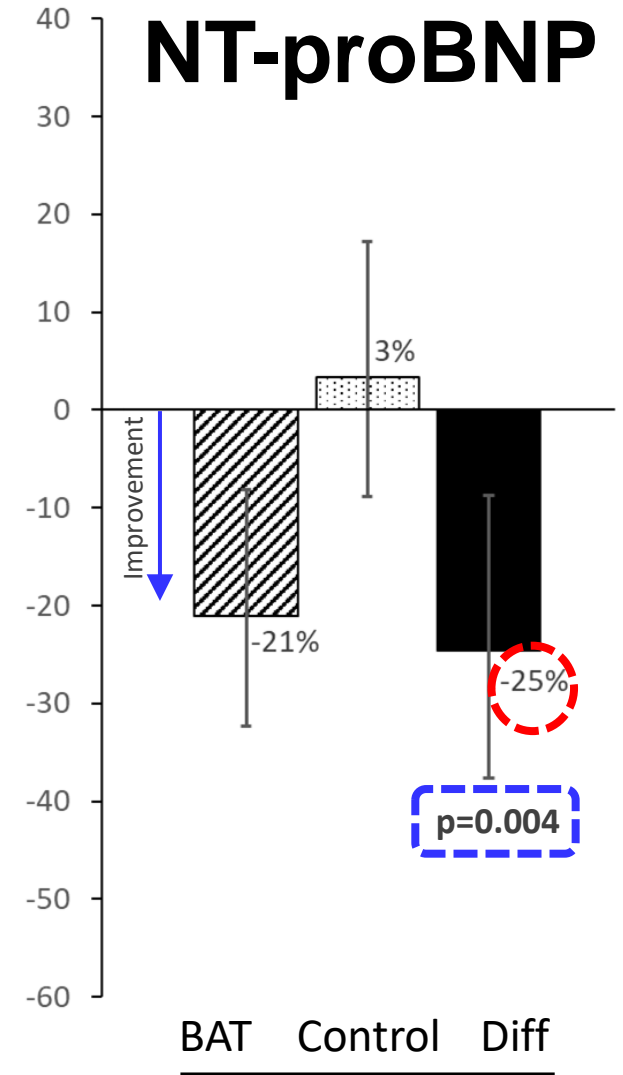
## Exercise Capacity



## Quality of Life



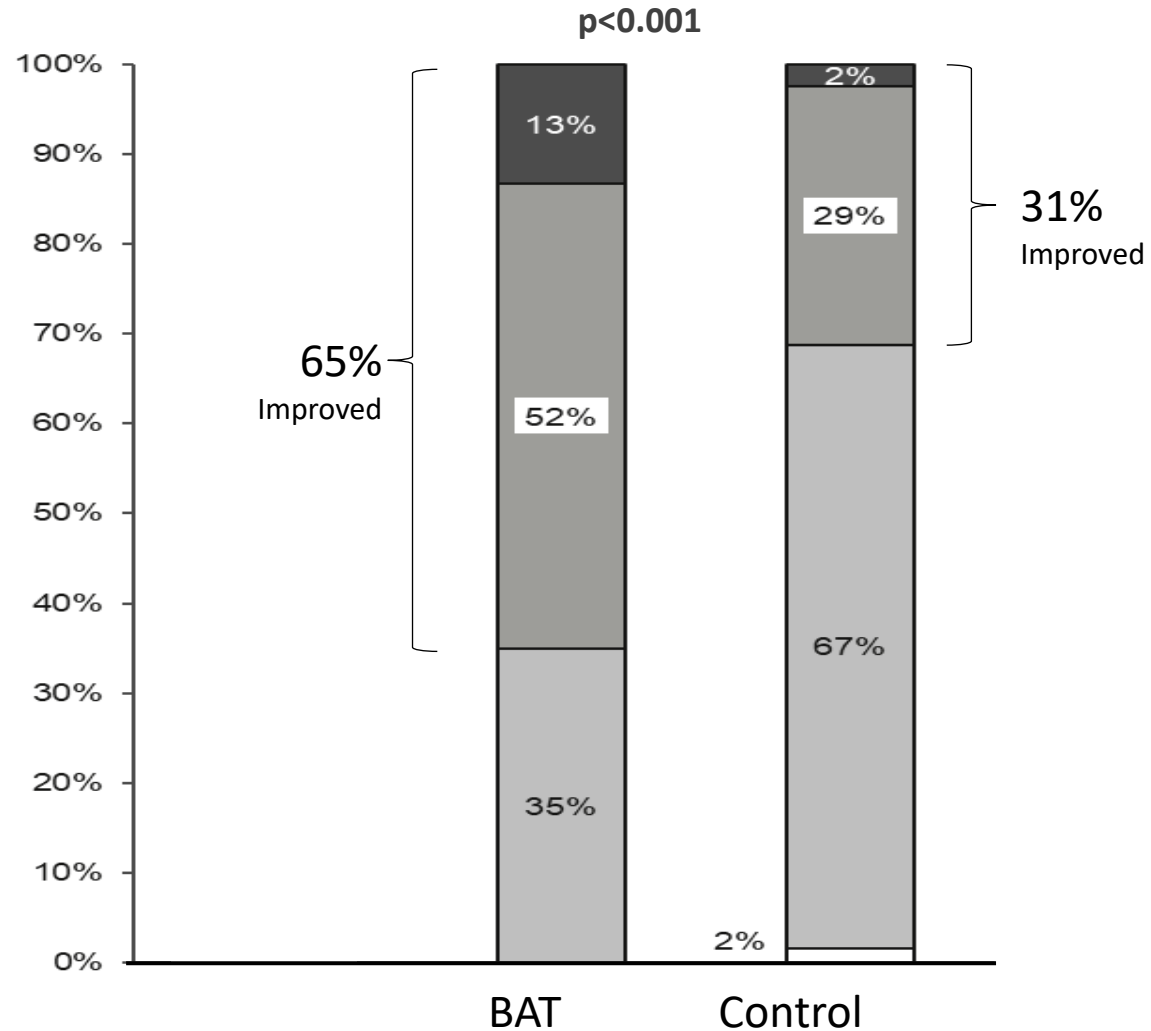
## NT-proBNP



# BeAT-HF Top-Line Results

## Functional Status

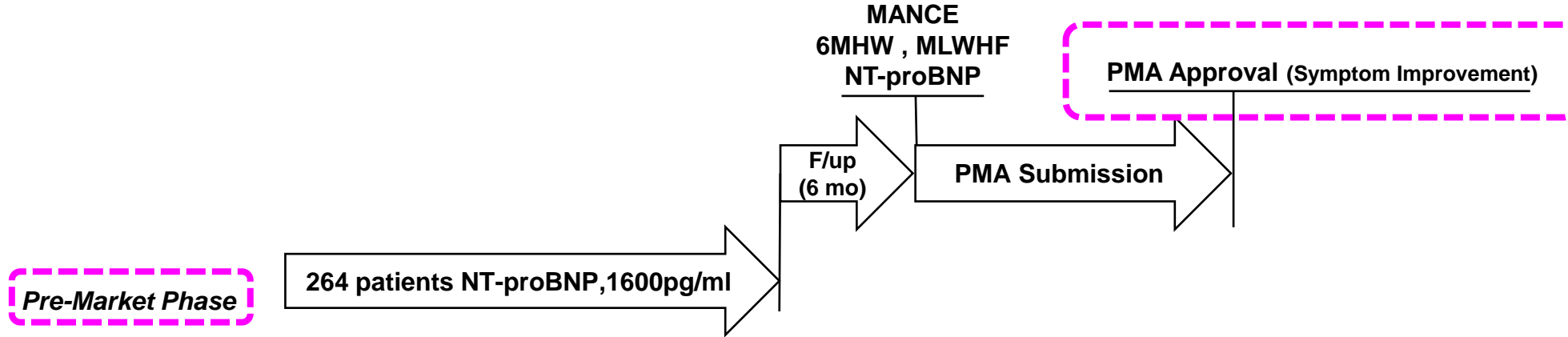
**6 Month NYHA Classes  
(% of patients improved  $\geq 1$   
class from baseline)**



Legend :  Improved 2 NYHA Classes  Improved 1 NYHA Class  No Change  Deteriorated

# Endpoint Strategy: Breakthrough Devices Program Approved Approach

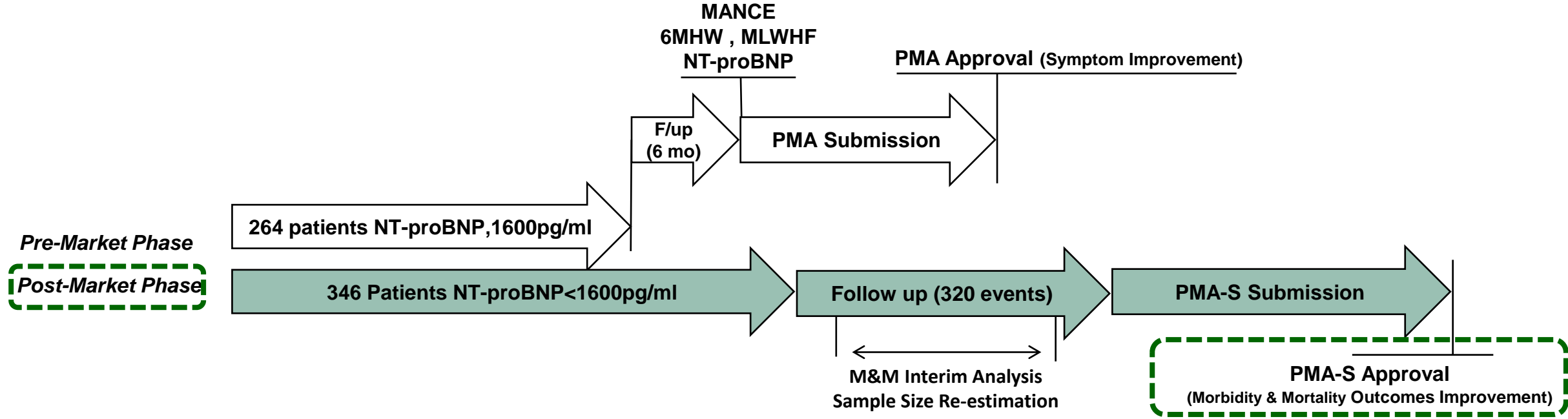
-- Completed



	Sample Size	Analysis Timing	Clinical Evidence
Pre-Market Phase	N = 264 randomized subjects	N = 264 complete 6 months follow-up	<ul style="list-style-type: none"> <li>• Safety evaluation (MANCE)</li> <li>• NT-proBNP</li> <li>• Six minute hall walk</li> <li>• Minnesota living with heart failure (QOL)</li> </ul>

# Endpoint Strategy: Breakthrough Devices Program Approved Approach

-- Ongoing



	Sample Size	Analysis Timing	Clinical Evidence
Pre-Market Phase	N = 264 randomized subjects	N = 264 complete 6 months follow-up	<ul style="list-style-type: none"> <li>Safety evaluation (MANCE)</li> <li>NT-proBNP</li> <li>Six minute hall walk</li> <li>Minnesota living with heart failure (QOL)</li> </ul>
Post-Market Phase	N = 336 randomized subjects (N=264 subjects from Pre-Market Phase + additional N=72 new subjects)	Sufficient morbidity and mortality data collected on all subjects (320 events collected)	<ul style="list-style-type: none"> <li>Full morbidity and mortality</li> <li>Heart Failure Hospitalization</li> <li>CV Death</li> <li>Totally of evidence</li> </ul>

# Serious Cardiovascular Adverse Events: Definition

- **Heart Failure Events (HF Hospitalization or CV Death) were excluded. BeAT-HF Post-Market Phase is ongoing and these events remain blinded.**
- **Serious Adverse Event:** An adverse event that led to death, or led to serious deterioration in the health of the subject in the following categories:
  - **Cardiac Arrhythmias / Cardiac Arrest**
  - **Hypotension / Syncope**
  - **Myocardial Infarction / Angina**
- A cardiovascular event is any event related to the heart or vascular system.
- All serious cardiovascular adverse events were adjudicated by an independent committee.



# Serious Cardiovascular Adverse Events

	BAT N=125		Control N=134			
CV SAE	Number of Events (# subjects)	Event Rate per patient year of follow-up	Number of Events (# subjects)	Event Rate per patient year of follow-up	Relative Reduction in Event Rate (95% CI)	p-value
Cardiac Arrhythmias/Cardiac Arrest	8 (6)	0.054	18 (12)	0.109	0.50 (-0.14, 0.78)	0.100
Hypotension/Syncope	2 (2)	0.014	6 (4)	0.036	0.63 (-0.85, 0.92)	0.226
MI/Angina	5 (4)	0.034	10 (10)	0.060	0.44 (-0.63, 0.81)	0.288
<b>Total</b>	<b>15 (11)</b>	<b>0.101</b>	<b>34 (22)</b>	<b>0.206</b>	<b>0.51 (0.10, 0.73)</b>	<b>0.023</b>

# Conclusions

- BAT significantly improved patient-centered symptomatic endpoints
  - quality of life score
  - exercise capacity, and
  - functional status.
- These results were supported by objective evidence of significant reduction of NT-proBNP.
- Within the first six months, BAT patients had significantly fewer serious cardiovascular adverse events than the Control patients.
- The ongoing BEAT-HF trial post-market phase remains blinded to Heart Failure events (HF Hospitalizations and CV Death).

## FDA Approval 8/16/2019 : Instruction For Use

The BAROSTIM NEO® System is indicated for the **improvement of symptoms** of heart failure – quality of life, six-minute hall walk and functional status, for patients who remain symptomatic despite treatment with guideline-directed medical therapy, are NYHA **Class III or Class II** (who had a recent history of Class III), have a left ventricular ejection fraction  $\leq 35\%$ , a **NT-proBNP < 1600 pg/ml** and excluding patients indicated for Cardiac Resynchronization Therapy (CRT) according to AHA/ACC/ESC guidelines.