

# **Response to Baroreflex Activation Therapy (BAT) By Atrial Fibrillation Status**

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# **Presenter Disclosure Information**

**I will discuss research examining the development of new therapies in my presentation.**

**I have financial relationships to disclose:**

**Employee of:**

**Department of Veterans Affairs, Medical University of SC**

**Consultant for:**

**Abbott, Boston Scientific, Corvia, CVRx, Cyclorion, EBR, Endotronics, Eli Lilly, Janssen, Medtronic, Merck, Myokardia, Novartis, ReCor, V Wave**

**Stockholder in: N/A**

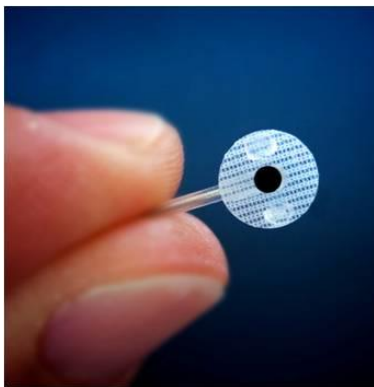
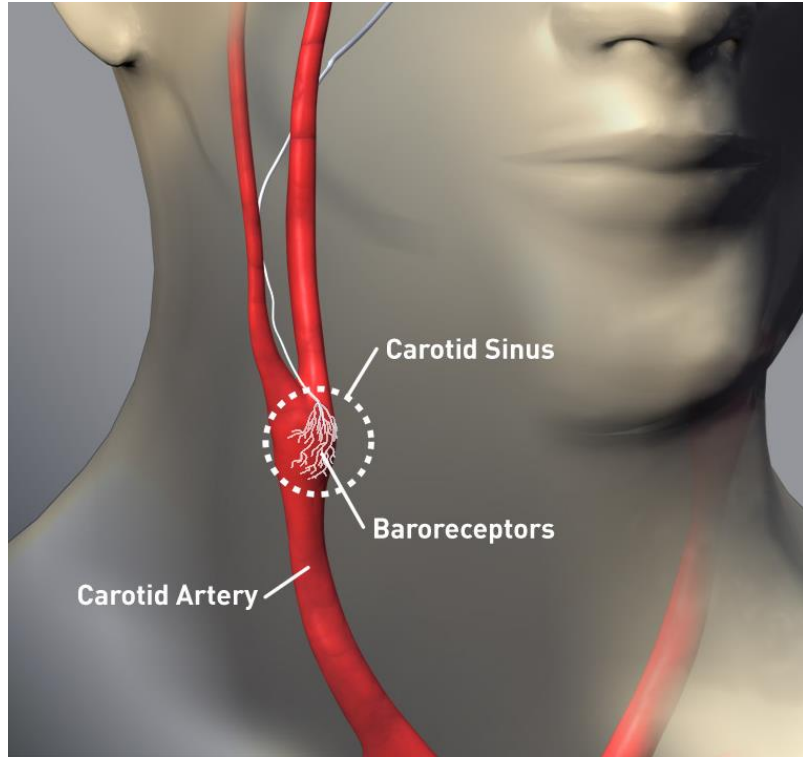
**Research support from:**

**NHLBI, VA, DOD, CVRx, Medtronic, Novartis**

# Presentation Goals

- **Device Design, Mechanism of Action**
- **Clinical Evidence Development in Heart Failure**
- **BeAT-HF Trial Data**
- **Response to BAT By Atrial Fibrillation Status**
- **Patients who should be considered for BAT**

# Device Design



2 mm electrode  
7mm silicone backer  
Unipolar design



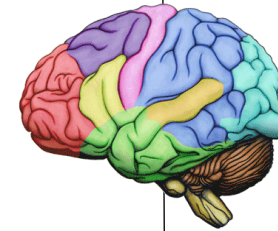
4-5 year longevity  
RF telemetry  
Programming flexibility



8.7 mA amplitude  
125 ms duration  
40 pps frequency

# Mechanism of BAT in HFrEF

Carotid Baroreceptor Stimulation  
Afferent Signaling



Integrated Autonomic Nervous System  
Response

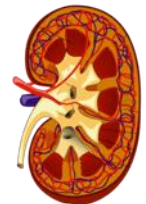
**Inhibits Sympathetic Activity**  
**Enhances Parasympathetic Activity**



↓ Heart Rate  
↓ Remodeling



↑ Vasodilation  
↓ Elevated BP



↑ Diuresis  
↓ Renin secretion

# Clinical Evidence Development in Heart Failure

## Phase I: BAT in HF

1<sup>st</sup> Enrollment 12/2011

## Phase II: HOPE4HF

1<sup>st</sup> Enrollment 5/2012

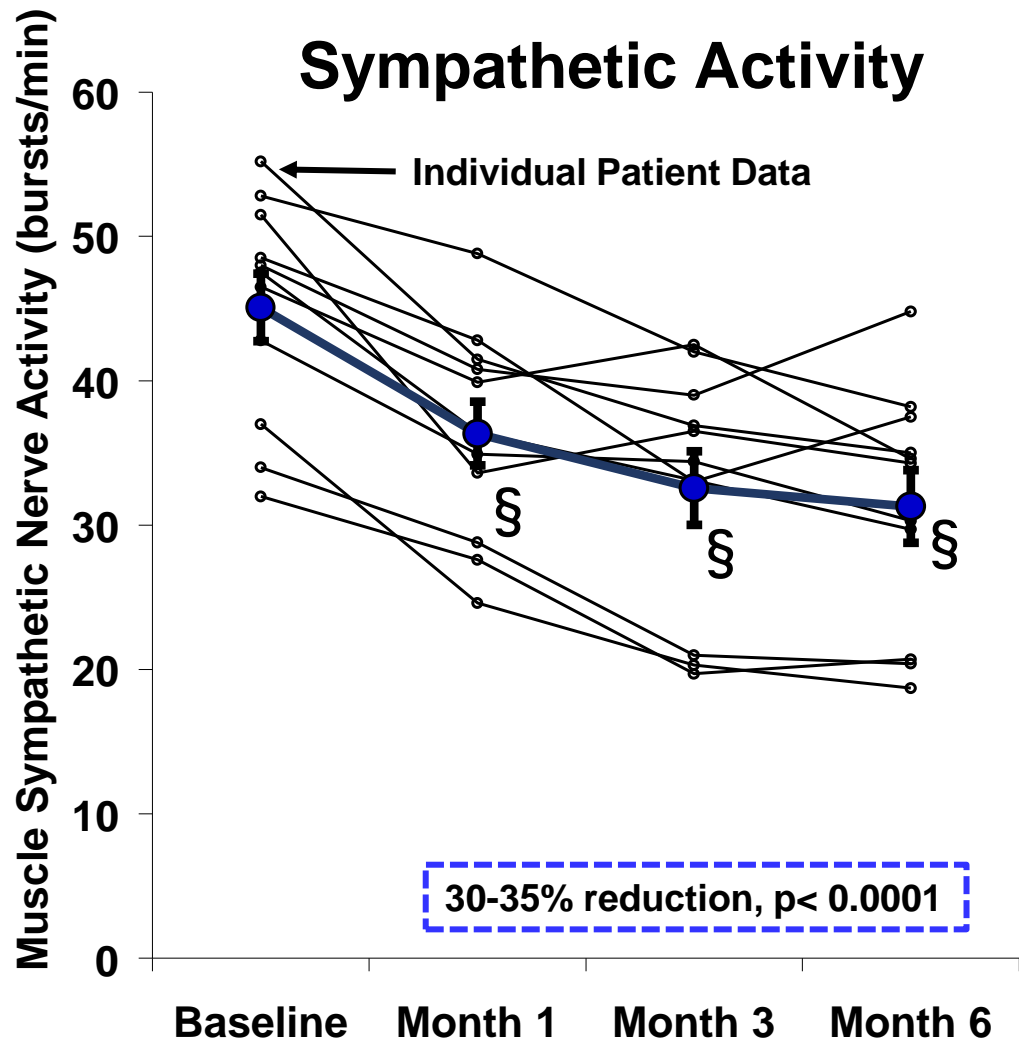
## Phase III: BeAT-HF

1<sup>st</sup> Enrollment 4/2016

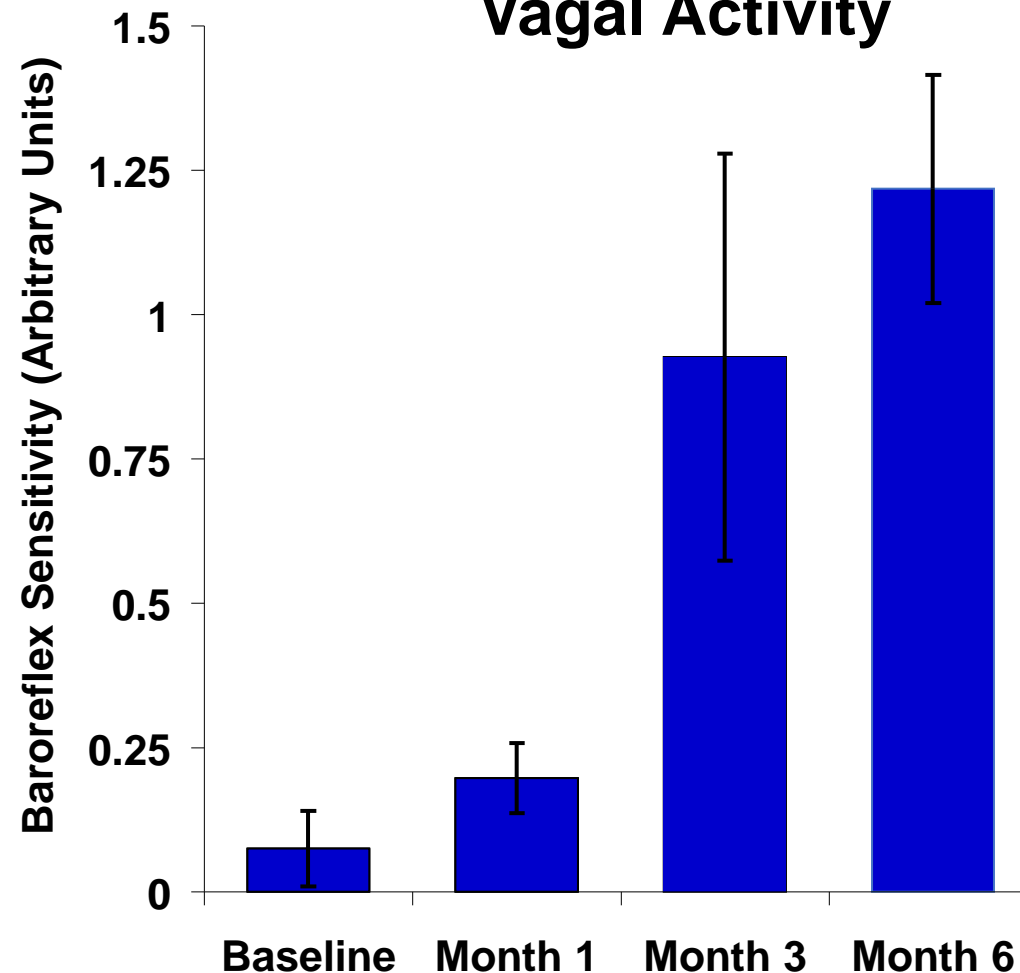
	Phase I: BAT in HF	Phase II: HOPE4HF	Phase III: BeAT-HF
<b>Objective</b>	<ul style="list-style-type: none"> <li>• Assess safety</li> <li>• Demonstrate mechanism of action with GDMT</li> </ul>	<ul style="list-style-type: none"> <li>• Assess safety and Effectiveness</li> </ul>	<ul style="list-style-type: none"> <li>• Demonstrate safety and effectiveness, including morbidity &amp; mortality</li> <li>• Assess health economics</li> </ul>
<b>Study Subjects</b>	<ul style="list-style-type: none"> <li>• n = 11</li> </ul>	<ul style="list-style-type: none"> <li>• n = 146</li> </ul>	<ul style="list-style-type: none"> <li>• n = 408</li> </ul>
<b>Outcomes</b>	<ul style="list-style-type: none"> <li>• BAROSTIM Therapy is safe</li> <li>• Mechanism of action demonstrated through muscle sympathetic nerve activity &amp; HR Variability</li> </ul>	<ul style="list-style-type: none"> <li>• BAROSTIM Therapy is safe and effective in heart failure</li> <li>• CE Mark Approval</li> </ul>	<ul style="list-style-type: none"> <li>• BAROSTIM Therapy is a safe, effective and an economically attractive solution for heart failure patients</li> <li>• FDA Approval</li> </ul>

# Effect of BAT in HFrEF on Sympatho-Vagal Balance

## Sympathetic Activity



## Vagal Activity



All Rx GDMT (> 90% ACE-I/ARB,  $\beta$ -blker, MRA)  
Replicated using High, Low HR Variability Studies

A Phase III Randomized, Controlled Trial of  
**B**aroreflex **A**ctivation **T**herapy (BAT)  
in Patients with  
**H**ear**F**ailure and Reduced Ejection Fraction (HFrEF)

# BeAT-HF

(ClinicalTrial.gov Identifier: NCT02627196)

The BeAT-HF Executive Steering Committee

Michael R. **Zile**, MD, William T. **Abraham**, MD, JoAnn **Lindenfeld**, MD,  
Fred A. **Weaver**, MD, Faiez **Zannad**, MD

Sponsor

CVRx, Inc.

# BeAT-HF Phase III Study

## Purpose:

- Demonstrate safety and effectiveness of BAT in HFrEF patients using the FDA Breakthrough Devices Program

## Design:

- Multicenter, prospective, randomized controlled trial
- Randomized 1:1 to receive BAT plus optimal medical management (“BAT”) or optimal medical management alone (“Control”)



# BeAT-HF Key Eligibility Criteria

- NYHA Functional Class III
- Left ventricular ejection fraction  $\leq 35\%$
- Six-minute hall walk distance (6MHW) 150 – 400 m
- Elevated NT-proBNP or previous Heart Failure Hospitalization
- Stable optimal medical therapy  $\geq 4$  weeks
- Subjects not indicated for CRT
- No restriction on AF, QRS width or concomitant devices

# BeAT-HF Baseline Demographics

Variable	BAT (n=130)	Control (n=134)
Age (years)	62 ± 11	63 ± 10
Gender: Female	19%	22%
Race: Caucasian	75%	72%
NYHA: Class III	93%	95%
MLWHF QOL Score	53 ± 24	52 ± 24
6 Minute Hall Walk Distance (m)*	316 ± 68	294 ± 73
HR (bpm)	75 ± 10	75 ± 11
SBP (mmHg)	120 ± 17	121 ± 16
DBP (mmHg)	73 ± 10	73 ± 10
LVEF (%)	27 ± 7	28 ± 6
NT-pro BNP (pg/mL, Median [IQR])	731 [475, 1021]	765 [479, 1052]
eGFR (mL/min)	64 ± 17	62 ± 20
QRS Interval	109 ± 18	110 ± 26
History of Atrial Fibrillation	29%	43%
History of Coronary Artery Disease	62%	69%
Previous HF hospitalization	42%	51%

No significant difference between BAT and Control: none below 0.01, 6MHW p=0.015, AF p=0.03, all others > 0.05

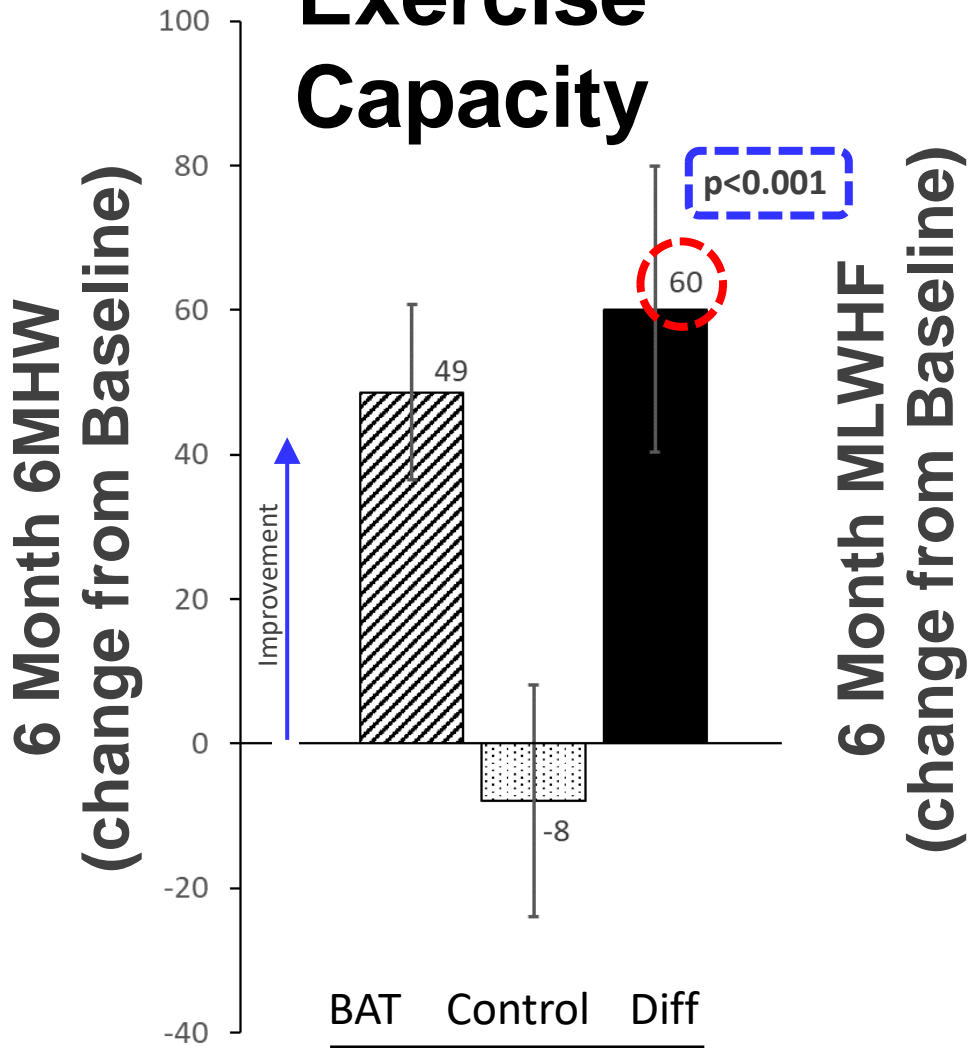
## BeAT-HF Baseline Therapies

Variable	BAT (n=130)	Control (n=134)
Number of Meds	3.9 ± 1.2	4.1 ± 1.4
ACE-I/ARB/ARNI	89%	84%
Beta-Blocker	95%	95%
MRA	49%	42%
Diuretic	85%	87%
Ivabradine	2%	5%
ICD	78%	79%

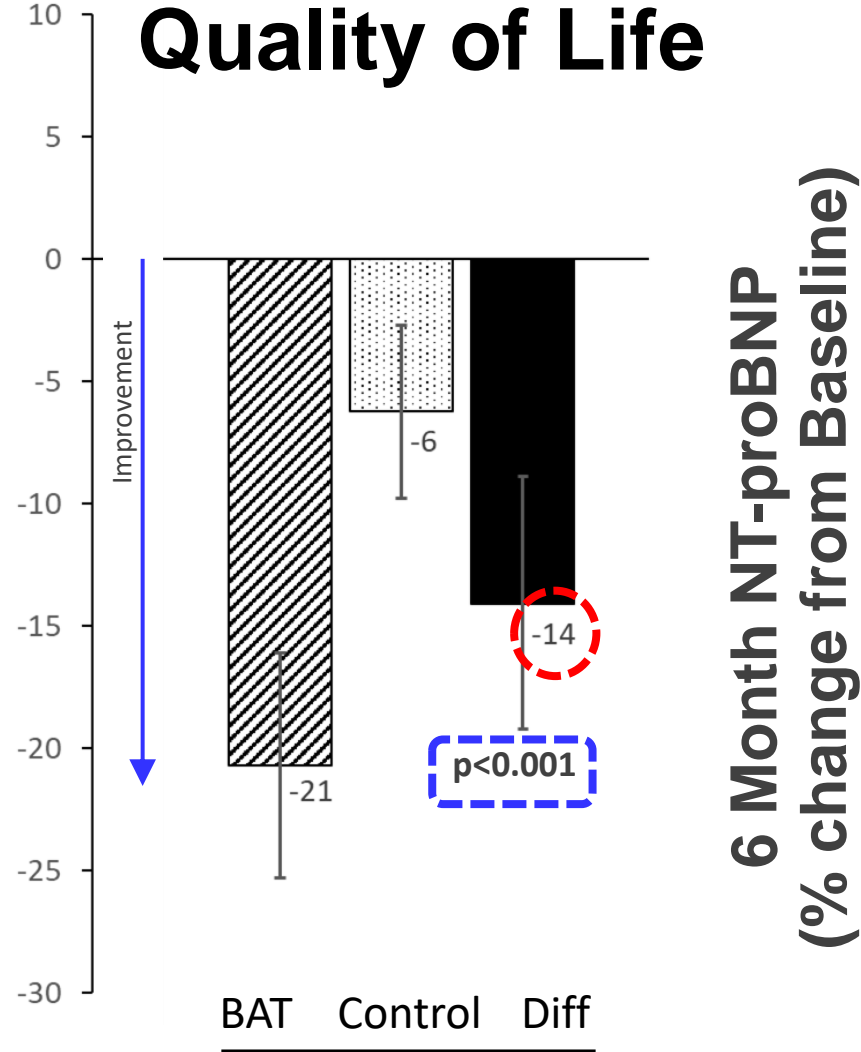
**No significant difference between BAT and Control**

# BeAT-HF Top-Line Results

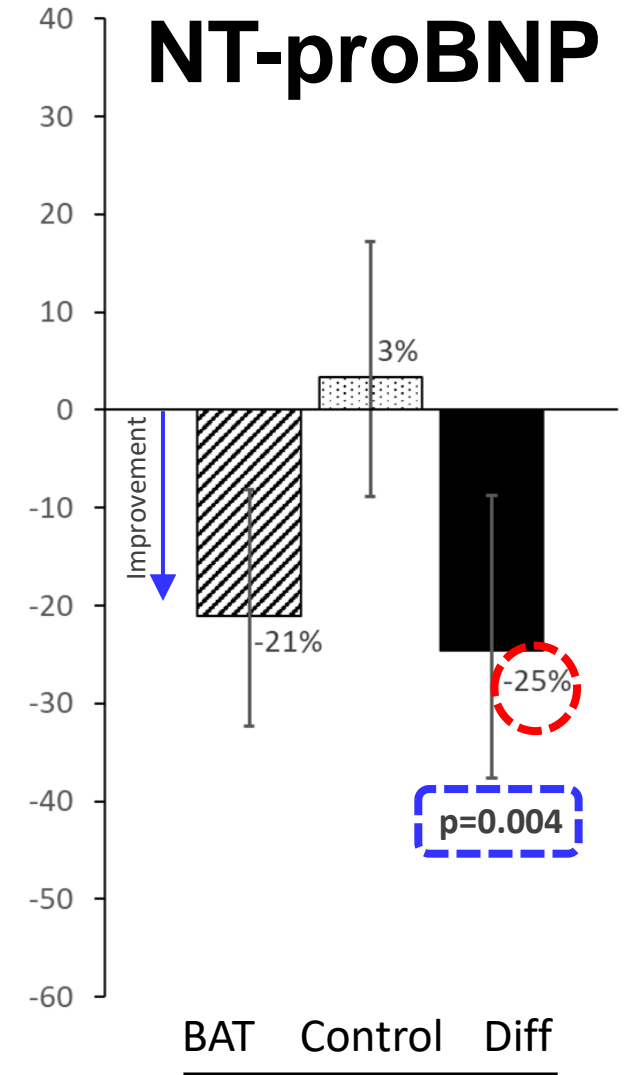
## Exercise Capacity



## Quality of Life



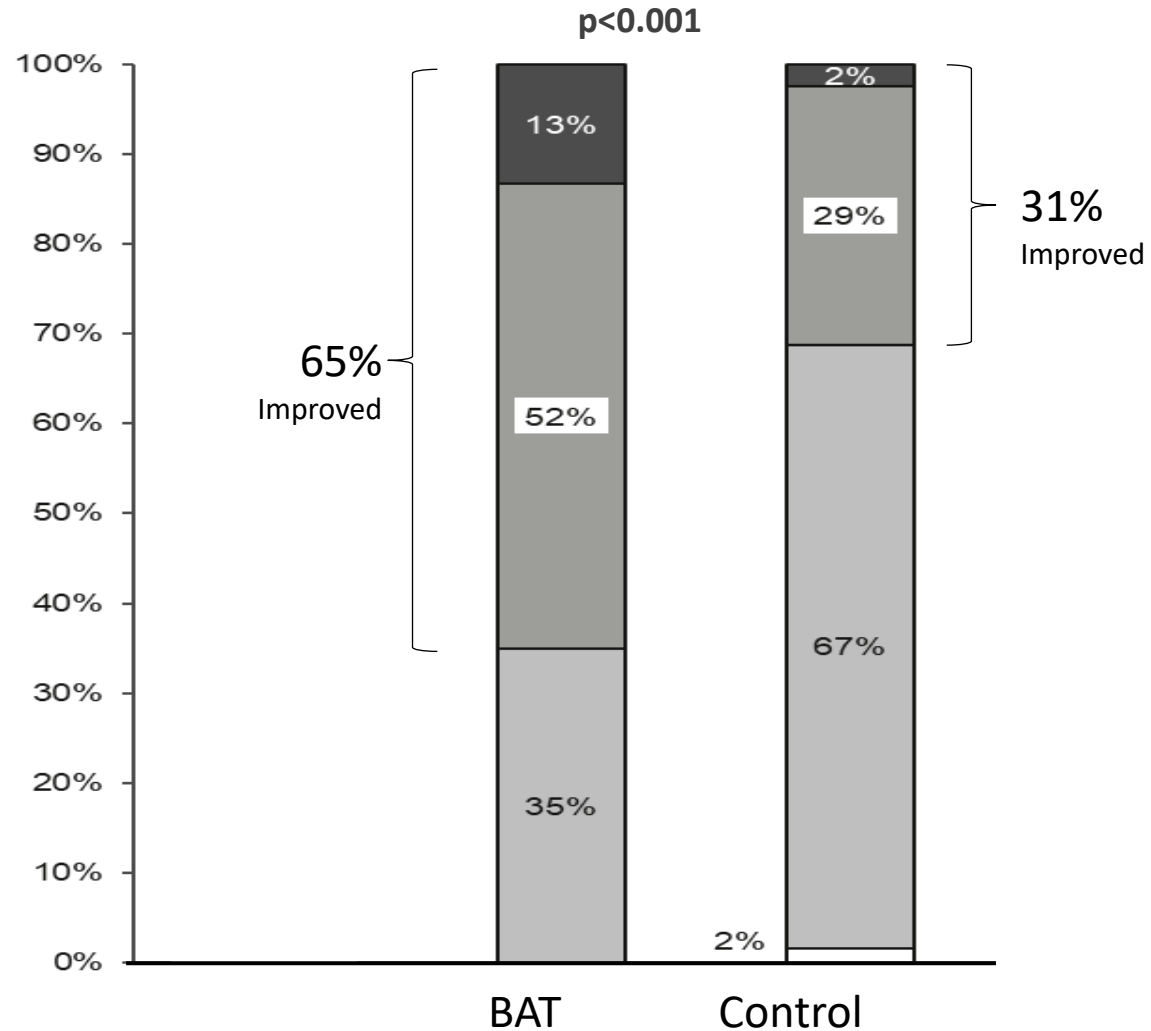
## NT-proBNP



# BeAT-HF Top-Line Results

## Functional Status

**6 Month NYHA Classes  
(% of patients improved  $\geq 1$   
class from baseline)**



Legend :  Improved 2 NYHA Classes  Improved 1 NYHA Class  No Change  Deteriorated

## Baseline AF Status

- Medical history form asked for history of atrial fibrillation (AF) and, if yes, was it paroxysmal, persistent or permanent
- 95 / 264 (36%) randomized subjects indicated a history of AF

Variable	N (%)
No AF	169 (64.0%)
Paroxysmal	63 (23.9%)
Permanent	8 (3.0%)
Persistent	22 (8.3%)
Unknown	2 (0.8%)

## Baseline Demographics by AF Status

Variable Mean ± SD or N (%)	History of AF N=95	No History of AF N=169	P-value
Race: White	74 (77.9%)	119 (70.4%)	0.197
Female	17 (17.9%)	36 (21.3%)	0.631
Age at Screening (years)	64 ± 10	61 ± 11	0.014
BMI (kg/m <sup>2</sup> )	31 ± 5	31 ± 5	0.406
SBP (mmHg)	121 ± 18	120 ± 16	0.744
DBP (mmHg)	74 ± 11	73 ± 10	0.627
HR (bpm)	75 ± 11	75 ± 11	0.749
LVEF (%)	28 ± 5	27 ± 6	0.022
Core Lab NT-proBNP (pg/mL)*	847 (594, 1128)	658 (414, 956)	0.002
NYHA: Class III	89 (93.7%)	159 (94.1%)	1.000
6 Minute Walk (m)	298 ± 72	308 ± 71	0.280
QOL	49 ± 23	55 ± 24	0.064
QRS Interval	114.3 ± 26.2	107.1 ± 18.9	0.011
At Least One HF Hospitalization	46 (48.4%)	76 (45.0%)	0.609

\* = Median (interquartile range)

## Baseline Co-Morbidities by AF Status

Co-Morbidity	History of A Fib N=95	No History of A Fib N=169	P-value
	Mean $\pm$ SD or N (%)	Mean $\pm$ SD or N (%)	
Coronary Heart Disease			
Coronary Artery Disease	62 (65.3%)	110 (65.1%)	1.000
Myocardial Infarction	61 (64.2%)	93 (55.0%)	0.155
CABG	25 (26.3%)	37 (21.9%)	0.451
PCI	35 (36.8%)	80 (47.3%)	0.121
Cardiac Arrhythmia			
Bradycardia	10 (10.5%)	17 (10.1%)	1.000
Tachycardia	35 (36.8%)	54 (32.0%)	0.420
Atrial Fibrillation	95 (100.0%)	0 (0.0%)	<.001
Stroke or TIA	27 (28.4%)	27 (16.0%)	0.025
Chronic Kidney Disease	24 (25.3%)	40 (23.7%)	0.767
Diabetes			
Type I	0 (0.0%)	2 (1.2%)	0.538
Type II	45 (47.4%)	81 (47.9%)	1.000



## Baseline Treatments by AF Status

Treatment	History of A Fib N=95	No History of A Fib N=169	P-value
	Mean $\pm$ SD or N (%)	Mean $\pm$ SD or N (%)	
Number of Meds	4.1 $\pm$ 1.4	4.0 $\pm$ 1.3	0.362
ACE-I/ARB	55 (57.9%)	99 (58.6%)	1.000
Beta-Blocker	92 (96.8%)	159 (94.1%)	0.388
Diuretic	81 (85.3%)	146 (86.4%)	0.854
Ivabradine	1 (1.1%)	8 (4.7%)	0.163
MRA	39 (41.1%)	80 (47.3%)	0.368
ARNI	25 (26.3%)	51 (30.2%)	0.572
ACE/ARB or ARNI Use	79 (83.2%)	149 (88.2%)	0.267
ICD	78 (82.1%)	129 (76.3%)	0.350
Pacemaker (non-ICD)	1 (1.1%)	2 (1.2%)	1.000
CRT	5 (5.3%)	2 (1.2%)	0.102

# Outcomes by Baseline AF Status

	BAT		Control		Difference*	
	N	Mean±SD	N	Mean±SD	Δ Means	p-value
Six Minute Hall Walk						
AF	32	50.0 ± 56.5	53	-8.3 ± 97.4	66.5	<0.001
No AF	86	48.1 ± 69.9	67	-7.6 ± 81.3	57.4	<0.001
Quality of Life						
AF	33	-19.8 ± 24.3	54	-7.6 ± 17.6	-12.0	0.002
No AF	87	-21.0 ± 26.0	71	-5.2 ± 21.8	-15.9	<0.001
Log10 NT-proBNP (% change)**						
AF	33	-24.1% ± 0.3	53	-1.0% ± 0.3	-23.4%	0.10
No AF	87	-20.0% ± 0.4	70	6.7% ± 0.3	-25.4%	0.02
NYHA (% Improved)						
AF	33	20 (61%)	54	18 (33%)	28%	0.015
No AF	87	58 (67%)	71	21 (30%)	37%	<0.001
Freedom from procedure/system-related MANCE						
AF	36	92%				
No AF	89	99%				

\*Difference evaluated based on ANCOVA model adjusting for baseline value. \*\*Results modeled parametrically log10 scale. Results converted to % change

There were no significant interaction P-values for AF vs no AF for any parameter measured, all > 0.05

## Conclusions

- A total of 95 (36%) of the 264 subjects enrolled in BeAT-HF had a history of atrial fibrillation when enrolled.
- BAT significantly improved patient-centered symptomatic endpoints
  - quality of life score
  - exercise capacity, and
  - functional status.
- These results were supported by objective evidence of significant reduction of NT-proBNP.
- BAT is equally safe and effective in patients with or without Atrial Fibrillation

## FDA Approval 8/16/2019 : Instruction For Use

The BAROSTIM NEO® System is indicated for the **improvement of symptoms** of heart failure – quality of life, six-minute hall walk and functional status, for patients who remain symptomatic despite treatment with guideline-directed medical therapy, are NYHA **Class III or Class II** (who had a recent history of Class III), have a left ventricular ejection fraction  $\leq 35\%$ , a **NT-proBNP < 1600 pg/ml** and excluding patients indicated for Cardiac Resynchronization Therapy (CRT) according to AHA/ACC/ESC guidelines.